



CHAIRMAN

Frank Falkenburg

Frank has been in the financial services industry since 1964 and has served as the founding Managing Director of NBC Securities Inc. since its inception in 1995. Prior to starting NBC Securities, Inc. Frank was Senior Vice President and Director of Sterne, Agee and Leach, Inc., Senior Vice President and Manager of A.G. Edwards & Sons, Inc., and started his career with Andresen & Co.

Frank is a member of the Kiwanis Club, a member of the Monday Morning Quarterback Club, past president of the Alabama Securities Dealers Association, served in the United States Armed Services, and earned his B.A. Degree in Finance from the University of Miami in 1942.

ABOUT NBC SECURITIES

NBC Securities is a full-service broker-dealer and registered investment advisor catering to individuals and companies across the United States. They provide private wealth services and asset management strategies from financial consultants who average over 25 years of industry experience, in addition to technology-driven custodial solutions that streamline and optimize operations for advisors nationwide.

They are independent and employee-owned, committed to building lasting relationships and legacies. The firm achieves this through the combined power of our network of advisors, sophisticated suite of business services, and in-house portfolio products and research that spans equities, fixed income, mutual funds, SMAs, annuities, and life insurance.

NBC Securities manages or advises approximately \$5 billion in assets with an operating footprint that spans the US with corporate headquarters is located in Birmingham, Alabama and offices in Alabama, California, Florida, Iowa, Kansas, Maryland, Minnesota, Ohio and Oregon.

Get in Touch with NBC Securities

1927 First Ave North
9th Floor, Birmingham, AL 35203
info@nbcsecurities.com
www.nbcsecurities.com



For Press Inquiries

MBC Strategic
press@mbcstrategic.com
(310) 445-3333



EXECUTIVE VICE PRESIDENT

Peyton Falkenburg

Peyton Falkenburg serves as Executive Vice President at NBC Securities, where he focuses on strategic leadership, advisor support, and business development. Based in Birmingham, Alabama, Peyton has a background in finance and investment management and has held roles spanning client advisory and operations. He is passionate about helping financial professionals strengthen client relationships, build sustainable practices, and prepare for long-term success.

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CHIEF GROWTH OFFICER

Kim Davis

Kim Davis is Chief Growth Officer at NBC Securities, where she leads firmwide growth initiatives, advisor recruiting, and practice management strategy. With over 30 years of experience in financial services, she has held senior leadership roles at Concourse Financial, Synovus, Morgan Stanley, and Stifel. Kim is recognized for her success in attracting and developing top advisor talent, driving productivity, and building platforms that help advisors grow their businesses.

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DIRECTOR OF RESEARCH

Darren Hinshaw

Darren Hinshaw is the Director of Research for NBC Securities and lead portfolio manager on the NBCS Balanced Core Strategy. Darren is a CFA charter holder and graduate of Florida State University with over 20 years of experience managing investment portfolios for high-net-worth clients and institutions. He is a skilled financial strategist involved in building customized financial strategies using both asset allocation and stock selection that deliver long term growth.

Darren is actively involved in the community serving on the board of the Valencia College Foundation, Salvation Army Area Command and the Chair of the finance committee for the Central Florida Boy Scouts.

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