CHAIRMAN

Frank Falkenburg

Frank has been in the financial services industry since 1964 and has served as the founding Managing Director of NBC Securities Inc. since its inception in 1995. Prior to starting NBC Securities, Inc. Frank was Senior Vice President and Director of Sterne, Agee and Leach, Inc., Senior Vice President and Manager of A.G. Edwards & Sons, Inc., and started his career with Andresen & Co.

Frank is a member of the Kiwanis Club, a member of the Monday Morning Quarterback Club, past president of the Alabama Securities Dealers Association, served in the United States Armed Services, and earned his B.A. Degree in Finance from the University of Miami in 1942.

ABOUT NBC SECURITIES

NBC Securities is a full-service broker-dealer and registered investment advisor catering to individuals and companies across the United States. They provide private wealth services and asset management strategies from financial consultants who average over 25 years of industry experience, in addition to technology-driven custodial solutions that streamline and optimize operations for advisors nationwide.

They are independent and employee-owned, committed to building lasting relationships and legacies. The firm achieves this through the combined power of our network of advisors, sophisticated suite of business services, and in-house portfolio products and research that spans equities, fixed income, mutual funds, SMA's, annuities, and life insurance.

NBC Securities manages or advises approximately $5 billion in assets with an operating footprint that spans the US with corporate headquarters is located in Birmingham, Alabama and offices in Alabama, California, Florida, Iowa, Kansas, Maryland, Minnesota, Ohio and Oregon.

Get in Touch with NBC Securities
1927 First Ave North
9th Floor, Birmingham, AL 35203
info@nbcsecurities.com
www.nbcsecurities.com

For Press Inquiries
MBC Strategic
press@mbcstrategic.com
(310) 445-3333

CO-CHIEF INVESTMENT OFFICER

Joseph Keating

As the Co-Chief Investment Officer of NBCS Asset Management Joe brings his nationally recognized investment expertise to NBC Securities. His 35 years of CIO experience has a proven history of investment success. Prior to joining NBC Securities, Joe started his career as an economist for the Federal Reserve Bank in Chicago then serving as the Chief Investment Officer for Old Kent Financial, AmSouth Asset Management, RBR Wealth Management, and then held the position as Executive VP at CenterState Bank.

He has served on the Executive Advisory Committee for the American Bankers and has been featured in The Wall Street Journal, Reuters, Associated Press, CNBC, Bloomberg News, and FOX Business.

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DIRECTOR OF RESEARCH

Darren Hinshaw

Darren Hinshaw is the Director of Research for NBC Securities and lead portfolio manager on the NBCS Balanced Core Strategy. Darren is a CFA charter holder and graduate of Florida State University with over 20 years of experience managing investment portfolios for high-net-worth clients and institutions. He is a skilled financial strategist involved in building customized financial strategies using both asset allocation and stock selection that deliver long term growth.

Darren is actively involved in the community serving on the board of the Valencia College Foundation, Salvation Army Area Command and the Chair of the finance committee for the Central Florida Boy Scouts.

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